

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re application of: | Stephen J. Ames, et al. | : | Date: | June 27, 2001 |
| Group Art Unit: | Unassigned | : | IBM Corporation | |
| Examiner: | Unassigned | : | Intellectual Property Law | |
| Serial No.: | Unassigned | : | Dept. 917, Bldg. 006-1 | |
| Filed: | Herewith | : | 3605 Highway 52 North | |
| Title: | DETECTION OF DATA TRANSMISSION RATES USING PASSING FREQUENCY- SELECTIVE FILTERING | : | Rochester, MN 55901-7829 | |

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No.: Unassigned
Docket No.: ROC920010068US1

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: June 27, 2001

EXPRESS MAIL Mailing No. EK595506045US


Date of Deposit: June 27, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231


(Signature)


Leslie J. Payne
(Attorney Name)

Respectfully submitted,
STEPHEN J. AMES, ET AL.

By 
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Serial No.: Unassigned
Docket No.: ROC920010068US1

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| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | ATTY. DOCKET NO. ROC9-2001-0068--US1 | SERIAL NO. Unknown |
| APPLICANT: Stephen John Ames et al. | | 11004 U.S. PTO 09/893222  |
| FILING DATE: June 27, 2001 | | |
| (Use several sheets if necessary) | | GROUP: Unknown |

**REFERENCE DESIGNATION
U.S. PATENT DOCUMENTS**

| EXAMINER INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE (IF APPRO.) |
|-------------------|----|-----------------|------|------|-------|-----------|-------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES NO |
|--|----|-----------------|------|---------|-------|-----------|-----------------------|
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |
| | AO | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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|--|----|--|
| | AP | Johns, David and Martin, Ken, <u>Analog Integrated Circuit Design</u> , John Wiley & Sons, Inc. 1997, pp. 414-423, 574-585, 626-637. |
| | AQ | |

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|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.